

# Investment Company Report

## FIFTH THIRD BANCORP

Security	316773100	Meeting Type	Annual
Ticker Symbol	FITB	Meeting Date	14-Apr-2020
ISIN	US3167731005	Agenda	935135170 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Nicholas K. Akins	Management	For	For
1B.	Election of Director: B. Evan Bayh, III	Management	For	For
1C.	Election of Director: Jorge L. Benitez	Management	For	For
1D.	Election of Director: Katherine B. Blackburn	Management	For	For
1E.	Election of Director: Emerson L. Brumback	Management	For	For
1F.	Election of Director: Jerry W. Burris	Management	For	For
1G.	Election of Director: Greg D. Carmichael	Management	For	For
1H.	Election of Director: C. Bryan Daniels	Management	For	For
1I.	Election of Director: Thomas H. Harvey	Management	For	For
1J.	Election of Director: Gary R. Heminger	Management	For	For
1K.	Election of Director: Jewell D. Hoover	Management	For	For
1L.	Election of Director: Eileen A. Mallesch	Management	For	For
1M.	Election of Director: Michael B. McCallister	Management	For	For
1N.	Election of Director: Marsha C. Williams	Management	For	For
2.	Approval of the appointment of the firm of Deloitte & Touche LLP to serve as the independent external audit firm for the Company for the year 2020.	Management	For	For
3.	An advisory approval of the Company's executive compensation.	Management	For	For
4.	An advisory vote to determine whether the shareholder vote on the compensation of the Company's executives will occur every 1, 2, or 3 years.	Management	1 Year	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	GLOBAL ALT - MELLON	BNY MELLON	2,000	0	25-Mar-2020	25-Mar-2020

## Investment Company Report

### BUNZL PLC

Security	G16968110	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	15-Apr-2020
ISIN	GB00B0744B38	Agenda	712257078 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND CONSIDER THE ACCOUNTS FOR THE YEAR ENDED 31 DECEMBER 2019 TOGETHER WITH THE REPORTS OF THE DIRECTORS AND AUDITORS	Management	For	For
2	TO DECLARE A FINAL DIVIDEND: 35.8P PER ORDINARY SHARE	Management	For	For
3	TO RE-APPOINT PETER VENTRESS AS A DIRECTOR	Management	For	For
4	TO RE-APPOINT FRANK VAN ZANTEN AS A DIRECTOR	Management	For	For
5	TO RE-APPOINT RICHARD HOWES AS A DIRECTOR	Management	For	For
6	TO RE-APPOINT VANDA MURRAY AS A DIRECTOR	Management	For	For
7	TO RE-APPOINT LLOYD PITCHFORD AS A DIRECTOR	Management	For	For
8	TO RE-APPOINT STEPHAN NANNINGA AS A DIRECTOR	Management	For	For
9	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS TO HOLD OFFICE FROM THE CONCLUSION OF THIS YEAR'S AGM UNTIL THE CONCLUSION OF THE NEXT GENERAL MEETING AT WHICH ACCOUNTS ARE LAID BEFORE THE COMPANY	Management	For	For
10	TO AUTHORISE THE DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO DETERMINE THE REMUNERATION OF THE AUDITORS	Management	For	For
11	TO APPROVE THE DIRECTORS' REMUNERATION POLICY AS SET OUT ON PAGES 88 TO 97 (INCLUSIVE) OF THE ANNUAL REPORT FOR THE YEAR ENDED 31 DECEMBER 2019	Management	For	For
12	TO APPROVE THE DIRECTORS' REMUNERATION REPORT AS SET OUT ON PAGES 85 TO 113 (INCLUSIVE) (EXCLUDING THE DIRECTORS' REMUNERATION POLICY AS SET OUT ON PAGES 88 TO 97 (INCLUSIVE)) OF THE ANNUAL REPORT FOR THE YEAR ENDED 31 DECEMBER 2019	Management	For	For
13	AUTHORITY TO ALLOT ORDINARY SHARES	Management	For	For
14	GENERAL AUTHORITY TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
15	SPECIFIC AUTHORITY TO DISAPPLY PRE-EMPTION RIGHTS IN CONNECTION WITH AN ACQUISITION OR SPECIFIED CAPITAL INVESTMENT	Management	For	For
16	PURCHASE OF OWN ORDINARY SHARES	Management	For	For

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17 NOTICE OF GENERAL MEETINGS

Management

Abstain

Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	GLOBAL ALT - MELLON	BNY MELLON	1,050	0	17-Apr-2020	

## Investment Company Report

### CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	21-Apr-2020
ISIN	US1729674242	Agenda	935139849 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Michael L. Corbat	Management	For	For
1B.	Election of Director: Ellen M. Costello	Management	For	For
1C.	Election of Director: Grace E. Dailey	Management	For	For
1D.	Election of Director: Barbara J. Desoer	Management	For	For
1E.	Election of Director: John C. Dugan	Management	For	For
1F.	Election of Director: Duncan P. Hennes	Management	For	For
1G.	Election of Director: Peter B. Henry	Management	For	For
1H.	Election of Director: S. Leslie Ireland	Management	For	For
1I.	Election of Director: Lew W. (Jay) Jacobs, IV	Management	For	For
1J.	Election of Director: Renée J. James	Management	For	For
1K.	Election of Director: Gary M. Reiner	Management	For	For
1L.	Election of Director: Diana L. Taylor	Management	For	For
1M.	Election of Director: James S. Turley	Management	For	For
1N.	Election of Director: Deborah C. Wright	Management	For	For
1O.	Election of Director: Alexander R. Wynaendts	Management	For	For
1P.	Election of Director: Ernesto Zedillo Ponce de Leon	Management	For	For
2.	Proposal to ratify the selection of KPMG LLP as Citi's independent registered public accounting firm for 2020.	Management	For	For
3.	Advisory vote to approve Citi's 2019 Executive Compensation.	Management	For	For
4.	Approval of Additional Authorized Shares Under the Citigroup 2019 Stock Incentive Plan.	Management	For	For
5.	Stockholder proposal requesting an amendment to Citi's proxy access by-law provisions pertaining to the aggregation limit.	Shareholder	Against	For
6.	Stockholder proposal requesting that the Board review Citi's governance documents and make recommendations to shareholders on how the "Purpose of a Corporation" signed by Citi's CEO can be fully implemented.	Shareholder	Against	For
7.	Stockholder proposal requesting a report disclosing information regarding Citi's lobbying policies and activities.	Shareholder	Against	For

## Investment Company Report

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL ALT FUND	GLOBAL ALT - RBC	RBC DOMINION SECURITIES INC	900	0	25-Mar-2020	25-Mar-2020
B01PI3F0500002C	B01PI3F0500002 C	GLOBAL ALT - MELLON	BNY MELLON	1,500	0	25-Mar-2020	25-Mar-2020

# Investment Company Report

## BANK OF AMERICA CORPORATION

Security	060505104	Meeting Type	Annual
Ticker Symbol	BAC	Meeting Date	22-Apr-2020
ISIN	US0605051046	Agenda	935139825 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Sharon L. Allen	Management	For	For
1B.	Election of Director: Susan S. Bies	Management	For	For
1C.	Election of Director: Jack O. Bovender, Jr.	Management	For	For
1D.	Election of Director: Frank P. Bramble, Sr.	Management	For	For
1E.	Election of Director: Pierre J.P. de Weck	Management	For	For
1F.	Election of Director: Arnold W. Donald	Management	For	For
1G.	Election of Director: Linda P. Hudson	Management	For	For
1H.	Election of Director: Monica C. Lozano	Management	For	For
1I.	Election of Director: Thomas J. May	Management	For	For
1J.	Election of Director: Brian T. Moynihan	Management	For	For
1K.	Election of Director: Lionel L. Nowell III	Management	For	For
1L.	Election of Director: Denise L. Ramos	Management	For	For
1M.	Election of Director: Clayton S. Rose	Management	For	For
1N.	Election of Director: Michael D. White	Management	For	For
1O.	Election of Director: Thomas D. Woods	Management	For	For
1P.	Election of Director: R. David Yost	Management	For	For
1Q.	Election of Director: Maria T. Zuber	Management	For	For
2.	Approving Our Executive Compensation (an Advisory, Non-binding "Say on Pay" Resolution).	Management	For	For
3.	Ratifying the Appointment of Our Independent Registered Public Accounting Firm for 2020.	Management	For	For
4.	Make Shareholder Proxy Access More Accessible.	Shareholder	Against	For
5.	Adopt a New Shareholder Right - Written Consent	Shareholder	Against	For
6.	Report Concerning Gender/Racial Pay Equity.	Shareholder	Against	For
7.	Review of Statement of the Purpose of a Corporation and Report on Recommended Changes to Governance Documents, Policies, and Practices.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL ALT FUND	GLOBAL ALT - RBC	RBC DOMINION SECURITIES INC	1,000	0	26-Mar-2020	26-Mar-2020

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002 C	GLOBAL ALT - MELLON	BNY MELLON	1,500	0	26-Mar-2020	26-Mar-2020

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## NESTLE S.A.

Security	H57312649	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	23-Apr-2020
ISIN	CH0038863350	Agenda	712296866 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT BENEFICIAL OWNER DETAILS ARE REQUIRED FOR THIS MEETING. IF-NO BENEFICIAL OWNER DETAILS ARE PROVIDED, YOUR INSTRUCTION MAY BE REJECTED.-THANK YOU.	Non-Voting		
CMMT	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE-REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE-REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT-FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A-REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL-SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE-THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND-RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE-TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF-REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE-SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR-CLIENT REPRESENTATIVE	Non-Voting		
1.1	APPROVAL OF THE ANNUAL REVIEW, THE FINANCIAL STATEMENTS OF NESTLE S.A. AND THE CONSOLIDATED FINANCIAL STATEMENTS OF THE NESTLE GROUP FOR 2019	Management		
1.2	ACCEPTANCE OF THE COMPENSATION REPORT 2019 (ADVISORY VOTE)	Management		
2	DISCHARGE TO THE MEMBERS OF THE BOARD OF DIRECTORS AND OF THE MANAGEMENT	Management		
3	APPROPRIATION OF PROFIT RESULTING FROM THE BALANCE SHEET OF NESTLE S.A. (PROPOSED DIVIDEND) FOR THE FINANCIAL YEAR 2019	Management		
4.1.1	RE-ELECTION AS MEMBER AND CHAIRMAN OF THE BOARD OF DIRECTORS: MR. PAUL BULCKE	Management		
4.1.2	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. ULF MARK SCHNEIDER	Management		
4.1.3	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. HENRI DE CASTRIES	Management		



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4.1.4	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. RENATO FASSBIND	Management
4.1.5	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MRS. ANN M. VENEMAN	Management
4.1.6	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MRS. EVA CHENG	Management
4.1.7	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. PATRICK AEBISCHER	Management
4.1.8	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MRS. URSULA M. BURNS	Management
4.1.9	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. KASPER RORSTED	Management
4.110	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. PABLO ISLA	Management
4.111	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MRS. KIMBERLY A. ROSS	Management
4.112	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. DICK BOER	Management
4.113	RE-ELECTION AS MEMBER OF THE BOARD OF DIRECTORS: MR. DINESH PALIWAL	Management
4.2	ELECTION TO THE BOARD OF DIRECTORS: MRS. HANNE JIMENEZ DE MORA	Management
4.3.1	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR. PATRICK AEBISCHER	Management
4.3.2	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MRS. URSULA M. BURNS	Management
4.3.3	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR. PABLO ISLA	Management
4.3.4	ELECTION AS MEMBER OF THE COMPENSATION COMMITTEE: MR. DICK BOER	Management
4.4	ELECTION OF THE STATUTORY AUDITORS: ERNST AND YOUNG SA, LAUSANNE BRANCH	Management
4.5	ELECTION OF THE INDEPENDENT REPRESENTATIVE: HARTMANN DREYER, ATTORNEYS-AT-LAW	Management
5.1	APPROVAL OF THE COMPENSATION OF THE BOARD OF DIRECTORS	Management
5.2	APPROVAL OF THE COMPENSATION OF THE EXECUTIVE BOARD	Management
6	CAPITAL REDUCTION (BY CANCELLATION OF SHARES)	Management

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7 IN THE EVENT OF ANY YET UNKNOWN NEW OR Shareholder  
MODIFIED PROPOSAL BY A SHAREHOLDER DURING  
THE GENERAL MEETING, I INSTRUCT THE  
INDEPENDENT REPRESENTATIVE TO VOTE AS  
FOLLOWS: (YES = VOTE IN FAVOR OF ANY SUCH  
YET UNKNOWN PROPOSAL, NO = VOTE AGAINST  
ANY SUCH YET UNKNOWN PROPOSAL, ABSTAIN =  
ABSTAIN FROM VOTING) - THE BOARD OF  
DIRECTORS RECOMMENDS TO VOTE "NO" ON ANY  
SUCH YET UNKNOWN PROPOSAL

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	GLOBAL ALT - MELLON	BNY MELLON	200	0		

# Investment Company Report

## HSBC HOLDINGS PLC

Security	404280406	Meeting Type	Annual
Ticker Symbol	HSBC	Meeting Date	24-Apr-2020
ISIN	US4042804066	Agenda	935154411 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the Annual Report & Accounts 2019	Management	For	For
2.	To approve the Directors' Remuneration Report	Management	For	For
3A.	To elect Noel Quinn as a Director	Management	For	For
3B.	To re-elect Laura Cha as a Director	Management	For	For
3C.	To re-elect Henri de Castries as a Director	Management	For	For
3D.	To re-elect Irene Lee as a Director	Management	For	For
3E.	To re-elect José Antonio Meade Kuribreña as a Director	Management	For	For
3F.	To re-elect Heidi Miller as a Director	Management	For	For
3G.	To re-elect David Nish as a Director	Management	For	For
3H.	To re-elect Ewen Stevenson as a Director	Management	For	For
3I.	To re-elect Jackson Tai as a Director	Management	For	For
3J.	To re-elect Mark Tucker as a Director	Management	For	For
3K.	To re-elect Pauline van der Meer Mohr as a Director	Management	For	For
4.	To re-appoint PricewaterhouseCoopers LLP as Auditor of the Company	Management	For	For
5.	To authorise the Group Audit Committee to determine the remuneration of the Auditor	Management	For	For
6.	To authorise the Company to make political donations	Management	For	For
7.	To authorise the Directors to allot shares	Management	For	For
8.	To disapply pre-emption rights (special resolution)	Management	For	For
9.	To further disapply pre-emption rights for acquisitions (special resolution)	Management	For	For
10.	To authorise the Directors to allot any repurchased shares	Management	For	For
11.	To authorise the Company to purchase its own ordinary shares (special resolution)	Management	For	For
12.	To authorise the Directors to allot equity securities in relation to Contingent Convertible Securities	Management	For	For
13.	To disapply pre-emption rights in relation to the issue of Contingent Convertible Securities (special resolution)	Management	For	For
14.	To amend the rules of the HSBC Share Plan 2011	Management	For	For
15.	To amend the rules of the HSBC Holdings Savings-Related Share Option Plan (UK)	Management	For	For

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16.	To amend the rules of the HSBC Holdings UK Share Incentive Plan and the HSBC International Employee Share Purchase Plan	Management	For	For
17.	To call general meetings (other than an AGM) on 14 clear days' notice (special resolution)	Management	For	For
18.	Shareholder requisitioned resolution regarding the Midland Bank defined benefit pension scheme (special resolution)	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	3,000	0	25-Mar-2020	25-Mar-2020

# Investment Company Report

**ING GROEP N.V.**

Security	456837103	Meeting Type	Annual
Ticker Symbol	ING	Meeting Date	28-Apr-2020
ISIN	US4568371037	Agenda	935170287 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
2D.	Remuneration report (advisory voting item). (If you vote "in favour", you will cast a positive advisory vote on the Remuneration Report 2019. If you vote "against", you will cast a negative advisory vote on the Remuneration Report 2019. The result of the vote counts as a non-binding advisory vote.)	Management	For	For
2E.	Annual Accounts for 2019 (voting item).	Management	For	For
4A.	Discharge of the members and former members of the Executive Board in respect of their duties performed during the year 2019 (voting item).	Management	For	For
4B.	Discharge of the members and former members of the Supervisory Board in respect of their duties performed during the year 2019 (voting item).	Management	For	For
5.	Remuneration policy of the Executive Board (voting item).	Management	For	For
6.	Remuneration policy of the Supervisory Board (voting item).	Management	For	For
7.	Amendment to the Articles of Association (voting item).	Management	For	For
8A.	Composition of the Supervisory Board: Appointment of Juan Colombás (voting item).	Management	For	For
8B.	Composition of the Supervisory Board: Appointment of Herman Hulst (voting item).	Management	For	For
8C.	Composition of the Supervisory Board: Appointment of Harold Naus (voting item).	Management	For	For
9A.	Authorisation to issue ordinary shares (voting item).	Management	For	For
9B.	Authorisation to issue ordinary shares, with or without pre-emptive rights of existing shareholders (voting item).	Management	For	For
10.	Authorisation to acquire ordinary shares in the Company's own capital (voting item).	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	12,500	0	06-Apr-2020	06-Apr-2020

## Investment Company Report

### WELLS FARGO & COMPANY

Security	949746101	Meeting Type	Annual
Ticker Symbol	WFC	Meeting Date	28-Apr-2020
ISIN	US9497461015	Agenda	935145183 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Steven D. Black	Management	For	For
1B.	Election of Director: Celeste A. Clark	Management	For	For
1C.	Election of Director: Theodore F. Craver, Jr.	Management	For	For
1D.	Election of Director: Wayne M. Hewett	Management	For	For
1E.	Election of Director: Donald M. James	Management	For	For
1F.	Election of Director: Maria R. Morris	Management	For	For
1G.	Election of Director: Charles H. Noski	Management	For	For
1H.	Election of Director: Richard B. Payne, Jr.	Management	For	For
1I.	Election of Director: Juan A. Pujadas	Management	For	For
1J.	Election of Director: Ronald L. Sargent	Management	For	For
1K.	Election of Director: Charles W. Scharf	Management	For	For
1L.	Election of Director: Suzanne M. Vautrinot	Management	For	For
2.	Advisory resolution to approve executive compensation.	Management	For	For
3.	Ratification of the appointment of KPMG LLP as the Company's independent registered public accounting firm for 2020.	Management	For	For
4.	Shareholder Proposal - Shareholder Approval of By-Law Amendments.	Shareholder	Against	For
5.	Shareholder Proposal - Report on Incentive-Based Compensation and Risks of Material Losses.	Shareholder	Against	For
6.	Shareholder Proposal - Report on Global Median Pay Gap.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	100	0	26-Mar-2020	26-Mar-2020

# Investment Company Report

## THE GOLDMAN SACHS GROUP, INC.

Security	38141G104	Meeting Type	Annual
Ticker Symbol	GS	Meeting Date	30-Apr-2020
ISIN	US38141G1040	Agenda	935147757 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: M. Michele Burns	Management	For	For
1B.	Election of Director: Drew G. Faust	Management	For	For
1C.	Election of Director: Mark A. Flaherty	Management	For	For
1D.	Election of Director: Ellen J. Kullman	Management	For	For
1E.	Election of Director: Lakshmi N. Mittal	Management	For	For
1F.	Election of Director: Adebayo O. Ogunlesi	Management	For	For
1G.	Election of Director: Peter Oppenheimer	Management	For	For
1H.	Election of Director: David M. Solomon	Management	For	For
1I.	Election of Director: Jan E. Tighe	Management	For	For
1J.	Election of Director: David A. Viniar	Management	For	For
1K.	Election of Director: Mark O. Winkelman	Management	For	For
2.	Advisory Vote to Approve Executive Compensation (Say on Pay).	Management	For	For
3.	Ratification of PricewaterhouseCoopers LLP as our Independent Registered Public Accounting Firm for 2020.	Management	For	For
4.	Shareholder Proposal Regarding Right to Act by Written Consent.	Shareholder	Against	For
5.	Shareholder Proposal Regarding Board Oversight of the "Statement on the Purpose of a Corporation".	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	400	0	26-Mar-2020	26-Mar-2020

## Investment Company Report

### BARCLAYS PLC

Security	06738E204	Meeting Type	Annual
Ticker Symbol	BCS	Meeting Date	07-May-2020
ISIN	US06738E2046	Agenda	935180101 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To receive the reports of the Directors and Auditors and the audited accounts of the Company for the year ended 31 December 2019.	Management	For	For
2.	To approve the Directors' Remuneration Report for the year ended 31 December 2019.	Management	For	For
3.	To approve the Directors' Remuneration Policy contained in the Directors' Remuneration Report for the year ended 31 December 2019.	Management	For	For
4.	That Dawn Fitzpatrick be appointed a Director of the Company.	Management	For	For
5.	That Mohamed A. El-Erian be appointed a Director of the Company.	Management	For	For
6.	That Brian Gilvary be appointed a Director of the Company.	Management	For	For
7.	That Mike Ashley be reappointed a Director of the Company.	Management	Against	Against
8.	That Tim Breedon be reappointed a Director of the Company.	Management	For	For
9.	That Sir Ian Cheshire be reappointed a Director of the Company.	Management	For	For
10.	That Mary Anne Citrino be reappointed a Director of the Company.	Management	For	For
11.	That Mary Francis be reappointed a Director of the Company.	Management	For	For
12.	That Crawford Gillies be reappointed a Director of the Company.	Management	For	For
13.	That Nigel Higgins be reappointed a Director of the Company.	Management	For	For
14.	That Tushar Morzaria be reappointed a Director of the Company.	Management	For	For
15.	That Diane Schueneman be reappointed a Director of the Company.	Management	For	For
16.	That James Staley be reappointed a Director of the Company.	Management	For	For
17.	To reappoint KPMG LLP as Auditors.	Management	For	For
18.	To authorise the Board Audit Committee to set the remuneration of the Auditors.	Management	For	For
19.	To authorise the Company and its subsidiaries to make political donations and incur political expenditure.	Management	For	For



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20.	To authorise the Directors to allot shares and equity securities.	Management	For	For
21.	To authorise the Directors to allot equity securities for cash and/or sell treasury shares other than on a pro rata basis to shareholders of no more than 5% of issued share capital.	Management	For	For
22.	To authorise the Directors to allot equity securities for cash and/or sell treasury shares other than on a pro rata basis to shareholders of no more than 5% of issued share capital in connection with an acquisition or specified capital investment.	Management	For	For
23.	To authorise the Directors to allot equity securities in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
24.	To authorise the Directors to allot equity securities for cash and/or sell treasury shares other than on a pro rata basis to shareholders in relation to the issuance of contingent Equity Conversion Notes.	Management	For	For
25.	To authorise the Company to purchase its own shares.	Management	For	For
26.	To authorise the Directors to call general meetings (other than AGM) on not less than 14 clear days' notice.	Management	For	For
27.	To authorise the renewal of the Barclays Group SAYE Share Option Scheme.	Management	For	For
28.	To authorise the proposed changes to the Barclays Group Share Value Plan.	Management	For	For
29.	Barclays' commitment to tackle climate change.	Management	For	For
30.	ShareAction's climate change resolution.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	25,000	0	13-Apr-2020	13-Apr-2020

# Investment Company Report

## CK INFRASTRUCTURE HOLDINGS LIMITED

Security	G2178K100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	13-May-2020
ISIN	BMG2178K1009	Agenda	712349403 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="https://www1.hkexnews.hk/listedco/listconews/sehk/2020/0406/2020040601656.pdf">https://www1.hkexnews.hk/listedco/listconews/sehk/2020/0406/2020040601656.pdf</a> -AND- <a href="https://www1.hkexnews.hk/listedco/listconews/sehk/2020/0406/2020040601670.pdf">https://www1.hkexnews.hk/listedco/listconews/sehk/2020/0406/2020040601670.pdf</a>	Non-Voting		
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting		
1	TO RECEIVE THE AUDITED FINANCIAL STATEMENTS, THE REPORT OF THE DIRECTORS AND THE INDEPENDENT AUDITOR'S REPORT FOR THE YEAR ENDED 31ST DECEMBER, 2019	Management	For	For
2	TO DECLARE A FINAL DIVIDEND	Management	For	For
3.1	TO ELECT MR. LI TZAR KUOI, VICTOR AS DIRECTOR	Management	For	For
3.2	TO ELECT MR. CHAN LOI SHUN AS DIRECTOR	Management	For	For
3.3	TO ELECT MS. CHEN TSIEN HUA AS DIRECTOR	Management	For	For
3.4	TO ELECT MRS. SNG SOW-MEI ALIAS POON SOW MEI AS DIRECTOR	Management	For	For
3.5	TO ELECT MR. COLIN STEVENS RUSSEL AS DIRECTOR	Management	For	For
3.6	TO ELECT MR. PAUL JOSEPH TIGHE AS DIRECTOR	Management	For	For
4	TO APPOINT MESSRS. DELOITTE TOUCHE TOHMATSU AS AUDITOR AND AUTHORISE THE DIRECTORS TO FIX THEIR REMUNERATION	Management	For	For
5.1	ORDINARY RESOLUTION NO. 5(1) OF THE NOTICE OF ANNUAL GENERAL MEETING (TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO ISSUE ADDITIONAL SHARES OF THE COMPANY)	Management	For	For
5.2	ORDINARY RESOLUTION NO. 5(2) OF THE NOTICE OF ANNUAL GENERAL MEETING (TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO BUY BACK SHARES OF THE COMPANY)	Management	For	For
5.3	ORDINARY RESOLUTION NO. 5(3) OF THE NOTICE OF ANNUAL GENERAL MEETING (TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS PURSUANT TO ORDINARY RESOLUTION NO. 5(1) TO ISSUE ADDITIONAL SHARES OF THE COMPANY)	Management	For	For

# Investment Company Report

6 SPECIAL RESOLUTION OF THE NOTICE OF ANNUAL Management For For  
GENERAL MEETING (TO APPROVE THE  
AMENDMENTS TO THE COMPANY'S BYE-LAWS)

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	GLOBAL ALT - MELLON	BNY MELLON	3,600	0	16-Apr-2020	16-Apr-2020

## Investment Company Report

### RED ELECTRICA CORPORACION, SA

Security	E42807110	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	13-May-2020
ISIN	ES0173093024	Agenda	712349782 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE IN THE EVENT THE MEETING DOES NOT REACH QUORUM, THERE WILL BE A-SECOND CALL ON 14 MAY 2020. CONSEQUENTLY, YOUR VOTING INSTRUCTIONS WILL-REMAIN VALID FOR ALL CALLS UNLESS THE AGENDA IS AMENDED. THANK YOU	Non-Voting		
1	EXAMINATION AND APPROVAL, IF APPLICABLE, OF THE ANNUAL ACCOUNTS (STATEMENT OF FINANCIAL POSITION, INCOME STATEMENT, STATEMENT OF CHANGES IN EQUITY, STATEMENT OF RECOGNISED INCOME AND EXPENSE, STATEMENT OF CASH FLOWS AND NOTES TO THE FINANCIAL STATEMENTS) AND MANAGEMENT REPORT OF RED ELECTRICA CORPORACION, S.A. FOR THE YEAR ENDED 31 DECEMBER 2019	Management	For	For
2	EXAMINATION AND APPROVAL, IF APPLICABLE, OF THE CONSOLIDATED ANNUAL ACCOUNTS (CONSOLIDATED STATEMENT OF FINANCIAL POSITION, CONSOLIDATED INCOME STATEMENT, CONSOLIDATED STATEMENT OF COMPREHENSIVE INCOME, CONSOLIDATED STATEMENT OF CHANGES IN EQUITY, CONSOLIDATED STATEMENT OF CASH FLOWS AND NOTES TO THE CONSOLIDATED FINANCIAL STATEMENTS) AND CONSOLIDATED MANAGEMENT REPORT OF THE CONSOLIDATED GROUP OF RED ELECTRICA CORPORACION, S.A. AND SUBSIDIARIES FOR THE YEAR ENDED 31 DECEMBER 2019	Management	For	For
3	EXAMINATION AND APPROVAL, IF APPLICABLE, OF THE PROPOSED ALLOCATION OF THE PROFIT OF RED ELECTRICA CORPORACION, S.A. FOR THE YEAR ENDED 31 DECEMBER 2019	Management	For	For
4	EXAMINATION AND APPROVAL, IF APPLICABLE, OF THE REPORT ON NON-FINANCIAL INFORMATION FOR THE CONSOLIDATED GROUP OF RED ELECTRICA CORPORACION, S.A. FOR THE 2019 FINANCIAL YEAR, IN ACCORDANCE WITH THE TERMS OF ACT 11/2018 OF 28 DECEMBER 2018 AMENDING THE COMMERCIAL CODE, THE RESTATED TEXT OF THE SPANISH COMPANIES ACT, APPROVED BY LEGISLATIVE ROYAL DECREE 1/2010 OF 2 JULY 2010, AND ACT 22/2015, OF 20 JULY 2015, ON THE AUDITING OF ACCOUNTS, ON MATTERS OF NON-FINANCIAL INFORMATION AND DIVERSITY	Management	For	For

## Investment Company Report

5	EXAMINATION AND APPROVAL, IF APPLICABLE, OF THE MANAGEMENT PERFORMANCE OF THE BOARD OF DIRECTORS OF RED ELECTRICA CORPORACION, S.A. DURING THE 2019 FINANCIAL YEAR	Management	For	For
6.1	RATIFICATION AND APPOINTMENT AS DIRECTOR, WITHIN THE CATEGORY OF "OTHER EXTERNAL", OF MS. BEATRIZ CORREDOR SIERRA	Management	For	For
6.2	RATIFICATION AND APPOINTMENT AS EXECUTIVE DIRECTOR OF MR. ROBERTO GARCIA MERINO	Management	For	For
6.3	RE-ELECTION AS INDEPENDENT DIRECTOR OF MS. CARMEN GOMEZ DE BARREDA TOUS DE MONSALVE	Management	For	For
7	DELEGATION IN FAVOUR OF THE BOARD OF DIRECTORS, FOR A FIVE (5) YEAR TERM, OF THE POWER TO INCREASE THE CAPITAL STOCK, AT ANY TIME, ONCE OR SEVERAL TIMES, UP TO A MAXIMUM OF ONE HUNDRED AND THIRTY FIVE MILLION TWO HUNDRED AND SEVENTY THOUSAND (135,270,000) EUROS, EQUIVALENT TO HALF THE CURRENT CAPITAL STOCK, IN THE AMOUNT AND AT THE ISSUE RATE DECIDED BY THE BOARD OF DIRECTORS IN EACH CASE, WITH THE POWER TO FULLY OR PARTIALLY EXCLUDE PREFERRED SUBSCRIPTION RIGHTS, FOR AN OVERALL MAXIMUM OF 10% OF THE CAPITAL STOCK AND WITH AN EXPRESS AUTHORISATION TO ACCORDINGLY REDRAFT ARTICLE 5 OF THE COMPANY'S ARTICLES OF ASSOCIATION AND IF NECESSARY, APPLY FOR THE ADMISSION TO TRADING, PERMANENCE AND/OR EXCLUSION OF THE SHARES ON ORGANIZED SECONDARY MARKETS	Management	For	For
8	DELEGATION IN FAVOUR OF THE BOARD OF DIRECTORS, FOR A FIVE (5) YEAR TERM AND AN OVERALL LIMIT OF FIVE BILLION (5,000,000,000) EUROS, OF THE POWER TO ISSUE, ONE OR SEVERAL TIMES, DIRECTLY OR THROUGH COMPANIES OF THE RED ELECTRICA GROUP, DEBENTURES, BONDS AND OTHER FIXED INCOME DEBT INSTRUMENTS OF A SIMILAR NATURE, WHETHER ORDINARY OR CONVERTIBLE OR EXCHANGEABLE FOR SHARES IN THE COMPANY, OTHER COMPANIES OF THE RED ELECTRICA GROUP OR OTHER COMPANIES THAT ARE NOT RELATED TO IT, INCLUDING WITHOUT LIMITATION, PROMISSORY NOTES, ASSET BACKED SECURITIES, PREFERRED SECURITIES, SUBORDINATED DEBT, HYBRID INSTRUMENTS AND WARRANTS THAT PROVIDE THE RIGHT TO DELIVER SHARES OF THE COMPANY OR OTHER COMPANIES IN THE RED ELECTRICA GROUP, WHETHER NEWLY ISSUED OR IN CIRCULATION, WITH THE EXPRESS CONTRIBUTION, IN THE CASE OF CONVERTIBLE SECURITIES AND EQUIVALENTS, OF THE POWER	Management	For	For

TO EXCLUDE, IN FULL OR IN PART, THE PREFERRED SUBSCRIPTION RIGHT FOR A MAXIMUM TERM, OVERALL OF 10% OF THE SHARE CAPITAL; AUTHORISATION SO THAT THE COMPANY CAN GUARANTEE NEW ISSUES OF FIXED INCOME SECURITIES (INCLUDING CONVERTIBLE OR EXCHANGEABLE SECURITIES) BY COMPANIES OF THE RED ELECTRICA GROUP; AUTHORISATION TO ACCORDINGLY REDRAFT ARTICLE 5 OF THE COMPANY'S ARTICLES OF ASSOCIATION AND TO, IF NECESSARY, APPLY FOR THE ADMISSION TO TRADING, PERMANENCE AND/OR EXCLUSION OF THE SHARES ON ORGANIZED SECONDARY MARKETS

9.1	AUTHORISATIONS GRANTED TO THE BOARD OF DIRECTORS FOR THE DERIVATIVE ACQUISITION OF TREASURY SHARES AND APPROVAL OF SHARE REMUNERATION SCHEME FOR EMPLOYEES, MEMBERS OF MANAGEMENT AND EXECUTIVE DIRECTORS: AUTHORISATION FOR THE DERIVATIVE ACQUISITION OF TREASURY SHARES BY THE COMPANY OR BY COMPANIES OF THE RED ELECTRICA GROUP, AS WELL AS FOR THEIR DIRECT AWARD TO EMPLOYEES, MEMBERS OF MANAGEMENT AND EXECUTIVE DIRECTORS OF THE COMPANY AND COMPANIES OF THE RED ELECTRICA GROUP IN SPAIN, AS REMUNERATION	Management	For	For
9.2	AUTHORISATIONS GRANTED TO THE BOARD OF DIRECTORS FOR THE DERIVATIVE ACQUISITION OF TREASURY SHARES AND APPROVAL OF SHARE REMUNERATION SCHEME FOR EMPLOYEES, MEMBERS OF MANAGEMENT AND EXECUTIVE DIRECTORS: APPROVAL OF A REMUNERATION SCHEME AIMED AT EMPLOYEES, EXECUTIVE DIRECTORS AND MEMBERS OF THE MANAGEMENT OF THE COMPANY AND OF THE COMPANIES BELONGING TO THE RED ELECTRICA GROUP IN SPAIN	Management	For	For
9.3	AUTHORISATIONS GRANTED TO THE BOARD OF DIRECTORS FOR THE DERIVATIVE ACQUISITION OF TREASURY SHARES AND APPROVAL OF SHARE REMUNERATION SCHEME FOR EMPLOYEES, MEMBERS OF MANAGEMENT AND EXECUTIVE DIRECTORS: REVOCATION OF PREVIOUS AUTHORISATIONS	Management	For	For
10.1	REMUNERATION OF THE COMPANY'S BOARD OF DIRECTORS: APPROVAL OF THE ANNUAL REPORT ON REMUNERATION OF THE DIRECTORS OF RED ELECTRICA CORPORACION, S.A	Management	For	For
10.2	REMUNERATION OF THE COMPANY'S BOARD OF DIRECTORS: APPROVAL OF THE REMUNERATION OF THE BOARD OF DIRECTORS OF RED ELECTRICA CORPORACION, S.A. FOR THE 2020 FINANCIAL YEAR	Management	For	For

## Investment Company Report

11	REAPPOINTMENT OF THE STATUTORY AUDITOR OF THE PARENT COMPANY AND OF THE CONSOLIDATED GROUP	Management	For	For
12	DELEGATION FOR FULL IMPLEMENTATION OF RESOLUTIONS ADOPTED AT THE GENERAL MEETING OF SHAREHOLDERS	Management	For	For
13	REPORT TO THE GENERAL MEETING OF SHAREHOLDERS ON THE ANNUAL CORPORATE-GOVERNANCE REPORT OF RED ELECTRICA CORPORACION, S.A. FOR THE 2019 FINANCIAL-YEAR	Non-Voting		
14	REPORT TO THE GENERAL MEETING OF SHAREHOLDERS ON THE AMENDMENTS OF THE BOARD-OF DIRECTORS REGULATIONS OF RED ELECTRICA CORPORACION, S.A	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	GLOBAL ALT - MELLON	BNY MELLON	1,300	0	16-Apr-2020	16-Apr-2020

## Investment Company Report

### PRUDENTIAL PLC

Security	G72899100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	14-May-2020
ISIN	GB0007099541	Agenda	712336949 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND CONSIDER THE 2019 ACCOUNTS, STRATEGIC REPORT, DIRECTORS' REMUNERATION REPORT, DIRECTORS' REPORT AND THE AUDITOR'S REPORT (THE ANNUAL REPORT)	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION POLICY	Management	For	For
4	TO ELECT JEREMY ANDERSON AS A DIRECTOR	Management	For	For
5	TO ELECT SHRITI VADERA AS A DIRECTOR	Management	For	For
6	TO RE-ELECT MARK FITZPATRICK AS A DIRECTOR	Management	For	For
7	TO RE-ELECT DAVID LAW AS A DIRECTOR	Management	For	For
8	TO RE-ELECT PAUL MANDUCA AS A DIRECTOR	Management	For	For
9	TO RE-ELECT KAIKHUSHRU NARGOLWALA AS A DIRECTOR	Management	For	For
10	TO RE-ELECT ANTHONY NIGHTINGALE AS A DIRECTOR	Management	For	For
11	TO RE-ELECT PHILIP REMNANT AS A DIRECTOR	Management	For	For
12	TO RE-ELECT ALICE SCHROEDER AS A DIRECTOR	Management	For	For
13	TO RE-ELECT JAMES TURNER AS A DIRECTOR	Management	For	For
14	TO RE-ELECT THOMAS WATJEN AS A DIRECTOR	Management	For	For
15	TO RE-ELECT MICHAEL WELLS AS A DIRECTOR	Management	For	For
16	TO RE-ELECT FIELDS WICKER-MIURIN AS A DIRECTOR	Management	For	For
17	TO RE-ELECT AMY YIP AS A DIRECTOR	Management	For	For
18	TO RE-APPOINT KPMG LLP AS THE COMPANY'S AUDITOR	Management	For	For
19	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE AMOUNT OF THE AUDITOR'S REMUNERATION	Management	For	For
20	TO RENEW THE AUTHORITY TO MAKE POLITICAL DONATIONS	Management	For	For
21	TO RENEW THE AUTHORITY TO ALLOT ORDINARY SHARES	Management	For	For
22	TO RENEW THE EXTENSION OF AUTHORITY TO ALLOT ORDINARY SHARES TO INCLUDE REPURCHASED SHARES	Management	For	For



## Investment Company Report

23	TO RENEW THE AUTHORITY FOR DISAPPLICATION OF PRE-EMPTION RIGHTS	Management	For	For
24	TO AUTHORISE AN ADDITIONAL AUTHORITY FOR DISAPPLICATION OF PRE-EMPTION RIGHTS FOR PURPOSES OF ACQUISITIONS OR SPECIFIED CAPITAL INVESTMENTS	Management	For	For
25	TO RENEW THE AUTHORITY FOR THE ISSUANCE OF MANDATORY CONVERTIBLE SECURITIES (MCS)	Management	For	For
26	TO RENEW THE AUTHORITY FOR DISAPPLICATION OF PRE-EMPTION RIGHTS IN CONNECTION WITH THE ISSUE OF MCS	Management	For	For
27	TO RENEW THE AUTHORITY FOR PURCHASE OF OWN SHARES	Management	For	For
28	TO RENEW THE AUTHORITY IN RESPECT OF NOTICE FOR GENERAL MEETINGS	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
PI3F0500002	PI3F0500002	GLOBAL ALT - MELLON	BNY MELLON	1,650	0	15-Apr-2020	15-Apr-2020

## Investment Company Report

### BNP PARIBAS

Security	05565A202	Meeting Type	Annual
Ticker Symbol	BNPQY	Meeting Date	19-May-2020
ISIN	US05565A2024	Agenda	935200458 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
O1	Approval of the parent company financial statements for the 2019 financial year.	Management	For	For
O2	Approval of the consolidated financial statements for the 2019 financial year.	Management	For	For
O3	Appropriation of net income for the 2019 financial year.	Management	For	For
O4	Special report of the Statutory Auditors on related party ...(due to space limits, see proxy material for full proposal).	Management	For	For
O5	Authorization for the Board of Directors to purchase Company shares.	Management	For	For
O6	Reappointment of a Director (Mr. Jean Lemierre).	Management	For	For
O7	Reappointment of a Director (Mr. Jacques Aschenbroich).	Management	For	For
O8	Reappointment of a Director (Ms. Monique Cohen).	Management	For	For
O9	Reappointment of a Director (Ms. Daniela Schwarzer).	Management	For	For
O10	Reappointment of a Director (Ms. Fields Wicker-Miurin).	Management	For	For
O11	Vote on the components of the compensation policy attributable to Directors.	Management	For	For
O12	Vote on the components of the compensation policy attributable to the Chairman of the Board of Directors.	Management	For	For
O13	Vote on the components of the compensation policy attributable to the Chief Executive Officer and the Chief Operating Officer.	Management	For	For
O14	Vote on disclosures relating to compensation paid in 2019 or ...(due to space limits, see proxy material for full proposal).	Management	For	For
O15	Vote on the components of the compensation paid in 2019 or ...(due to space limits, see proxy material for full proposal).	Management	For	For
O16	Vote on the components of the compensation paid in 2019 or ...(due to space limits, see proxy material for full proposal).	Management	For	For
O17	Vote on the components of the compensation paid in 2019 or ...(due to space limits, see proxy material for full proposal).	Management	For	For
O18	Advisory vote on the overall amount of compensation of any kind ...(due to space limits, see proxy material for full proposal).	Management	For	For

## Investment Company Report

E19	Delegation of authority to the Board of directors to increase ...(due to space limits, see proxy material for full proposal).	Management	For	For
E20	Delegation of authority to the Board of directors to increase ...(due to space limits, see proxy material for full proposal).	Management	For	For
E21	Delegation of authority to the Board of directors to increase ...(due to space limits, see proxy material for full proposal).	Management	For	For
E22	Overall limit on authorisations to issue shares with the removal ...(due to space limits, see proxy material for full proposal).	Management	For	For
E23	Delegation of authority to the Board of directors to increase ...(due to space limits, see proxy material for full proposal).	Management	For	For
E24	Overall limit on authorisations to issue shares with, without, ...(due to space limits, see proxy material for full proposal).	Management	For	For
E25	Delegation of authority to the Board of directors to conduct ...(due to space limits, see proxy material for full proposal).	Management	For	For
E26	Authorisation for the Board of directors to reduce the share capital by cancelling shares.	Management	For	For
E27	Amendment of the Articles of association to permit the appointment of a Director representing employee shareholders.	Management	For	For
E28	Amendment of the Articles of association to permit the Board of ...(due to space limits, see proxy material for full proposal).	Management	For	For
E29	Simplification and adaptation of the Articles of association.	Management	For	For
E30	Authority to complete legal formalities.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	5,000	0	28-Apr-2020	28-Apr-2020

# Investment Company Report

## JPMORGAN CHASE & CO.

Security	46625H100	Meeting Type	Annual
Ticker Symbol	JPM	Meeting Date	19-May-2020
ISIN	US46625H1005	Agenda	935170833 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: Linda B. Bammann	Management	For	For
1B.	Election of Director: Stephen B. Burke	Management	For	For
1C.	Election of Director: Todd A. Combs	Management	For	For
1D.	Election of Director: James S. Crown	Management	For	For
1E.	Election of Director: James Dimon	Management	For	For
1F.	Election of Director: Timothy P. Flynn	Management	For	For
1G.	Election of Director: Mellody Hobson	Management	For	For
1H.	Election of Director: Michael A. Neal	Management	For	For
1I.	Election of Director: Lee R. Raymond	Management	For	For
1J.	Election of Director: Virginia M. Rometty	Management	For	For
2.	Advisory resolution to approve executive compensation	Management	For	For
3.	Ratification of independent registered public accounting firm	Management	For	For
4.	Independent board chairman	Shareholder	For	Against
5.	Oil and gas company and project financing related to the Arctic and the Canadian oil sands	Shareholder	Against	For
6.	Climate change risk reporting	Shareholder	Against	For
7.	Amend shareholder written consent provisions	Shareholder	Against	For
8.	Charitable contributions disclosure	Shareholder	Against	For
9.	Gender/Racial pay equity	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
00047580383 ! 5RY0	PORTLAND GLOBAL ALT FUND	GLOBAL ALT - RBC	RBC DOMINION SECURITIES INC	450	0	20-Apr-2020	20-Apr-2020
B01PI3F0500002C	B01PI3F0500002 C	GLOBAL ALT - MELLON	BNY MELLON	550	0	20-Apr-2020	20-Apr-2020

# Investment Company Report

## STATE STREET CORPORATION

Security	857477103	Meeting Type	Annual
Ticker Symbol	STT	Meeting Date	20-May-2020
ISIN	US8574771031	Agenda	935174716 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Director: P. de Saint-Aignan	Management	For	For
1B.	Election of Director: M. Chandoha	Management	For	For
1C.	Election of Director: L. Dugle	Management	For	For
1D.	Election of Director: A. Fawcett	Management	For	For
1E.	Election of Director: W. Freda	Management	For	For
1F.	Election of Director: S. Mathew	Management	For	For
1G.	Election of Director: W. Meaney	Management	For	For
1H.	Election of Director: R. O'Hanley	Management	For	For
1I.	Election of Director: S. O'Sullivan	Management	For	For
1J.	Election of Director: R. Sergel	Management	For	For
1K.	Election of Director: G. Summe	Management	For	For
2.	To approve an advisory proposal on executive compensation.	Management	For	For
3.	To ratify the selection of Ernst & Young LLP as State Street's independent registered public accounting firm for the year ending December 31, 2020.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	1,200	0	20-Apr-2020	20-Apr-2020

# Investment Company Report

## MORGAN STANLEY

Security	617446448	Meeting Type	Annual
Ticker Symbol	MS	Meeting Date	21-May-2020
ISIN	US6174464486	Agenda	935168725 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	Election of Director: Elizabeth Corley	Management	For	For
1b.	Election of Director: Alistair Darling	Management	For	For
1c.	Election of Director: Thomas H. Glocer	Management	For	For
1d.	Election of Director: James P. Gorman	Management	For	For
1e.	Election of Director: Robert H. Herz	Management	For	For
1f.	Election of Director: Nobuyuki Hirano	Management	For	For
1g.	Election of Director: Stephen J. Luczo	Management	For	For
1h.	Election of Director: Jami Miscik	Management	For	For
1i.	Election of Director: Dennis M. Nally	Management	For	For
1j.	Election of Director: Takeshi Ogasawara	Management	For	For
1k.	Election of Director: Hutham S. Olayan	Management	For	For
1l.	Election of Director: Mary L. Schapiro	Management	For	For
1m.	Election of Director: Perry M. Traquina	Management	For	For
1n.	Election of Director: Rayford Wilkins, Jr.	Management	For	For
2.	To ratify the appointment of Deloitte & Touche LLP as independent auditor	Management	For	For
3.	To approve the compensation of executives as disclosed in the proxy statement (non-binding advisory vote)	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
B01PI3F0500002C	B01PI3F0500002C	GLOBAL ALT - MELLON	BNY MELLON	2,000	0	20-Apr-2020	20-Apr-2020